



UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
00/971,933	04/17/97	STILL	026803/01001

POLEY & LARDNER
SUITE 400
3000 K STREET N.W.
P.O. BOX 25493
WASHINGTON DC 20007

NOT ASSIGNED

1615

DATE MAILED: 03/17/98

NOTICE TO FILE MISSING PARTS OF APPLICATION *Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1562

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ 642, including any multiple dependent claim fees, are required.

\$ 246 for 3 independent claims over 3.

\$ 396 for 18 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Service Center
Examination Division (703) 308-1202

PATENT & TRADEMARK OFFICE RECEIVED WITH RESPONSE

Sector #



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 26083/138

#3
Quest
DEC
Senchal

In re Patent Application of
HANS ULRICH STILZ, et al.

Serial No. 08/971,960

ATTN: BOX MISSING PARTS

Filed: November 17, 1997

For: 5-MEMBERED RING HETEROCYCLES AS INHIBITORS OF
LEUCOCYTE ADHESION AND AS VLA-4 ANTAGONISTS

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of
Application under 37 CFR 1.53(d) mailed March 17, 1998,
attached hereto are the following to complete the formal
filing requirements in the above-identified application:

XX Declaration and Power of Attorney signed
by each Inventor.

XX Check in the amount of \$1,602.00 in
payment of the 790.00 official Government
filing fee, including 130.00 surcharge,
396.000 for 18 additional Dependent
Claims, 246.00 for 3 additional
Independent Claims and 40.00 Assignment
recordation fee.

 Small Entity Declaration signed by or on
behalf of each individual, concern or
organization having rights in the subject
invention.

64/171555 THE LEG. 00000050 08971960

01 FC:101	<u>XX</u> 790.00 OP
02 FC:102	246.00 OP
03 FC:103	396.00 OP
04 FC:105	130.00 OP

Assignment to HOECHST AKTIENGESELLSCHAFT
with transmittal letter and check covering
recordation fee. (recordation fee of \$40
included in attached check).

Serial No. 08/971,960


<u> </u>	Claim for Convention Priority with certified copy of the priority document.
<u>XX</u>	Information Disclosure Statement and PTO- 1449 w/19 References.
<u>XX</u>	A copy of the Notice to File Missing Parts of Application (Form PTO-1533) mailed March 17, 1998.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0741.

Receipt is now awaited by Applicants of the official Filing Receipt confirming the above-noted filing date and Serial Number.

Respectfully submitted,

April 9, 1998

fn  40,244

Colin G. Sandercock
Reg. No. 31,298

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